CLINICAL LABORATORY EVALUATION PROGRAM WADSWORTH CENTER
NEW YORK STATE DEPARTMENT OF HEALTH EMPIRE STATE PLAZA
ALBANY, NY 12237

2025-2026 LABORATORY PERMIT REAPPLICATION INSTRUCTIONS

E-mail: clepreapp@health.ny.gov

Web: www.wadsworth.org/regulatory/clep

#### WHO MUST RE-APPLY?

- ALL PERMITTED LABORATORIES AND LABORATORIES IN APPLIED STATUS.
- Permits approved for renewal will be mailed the last week of June.
  - o See Annual Permit Certificate section below for more information.

#### Have questions?

Contact us at <a href="mailto:clepreapp@health.ny.gov">clepreapp@health.ny.gov</a>; with your Permanent Facility Identifier (PFI) number in the subject line.

OPENS: MARCH 28, 2025 DUE: APRIL 28, 2025

## WHAT NEEDS TO BE DONE?

O s	Submit Permit	Materials	Reapplication	in eCLEP	on Health	Commerce
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- O Submit Gross Annual Receipts report in eCLEP on Health Commerce (permitted laboratories only)
- O Submit Blood Services Activity Report in eCLEP on Health Commerce (if applicable)
- O Complete HIV, Hepatitis and Syphilis Testing Surveys (if applicable; links below)

## WHERE?

- Log onto Health Commerce (<a href="https://commerce.health.state.ny.us">https://commerce.health.state.ny.us</a>)
  - o \*\*We recommend the internet browsers Chrome, Edge or Firefox.
- Click on eCLEP from your My Applications list.
- If prompted, enter the PFI for the facility.
- See below for links to HIV, Hepatitis and Syphilis Testing Surveys.

#### WHAT'S NEW FOR 2025?

#### ALL LABORATORIES HOLDING A PERMIT ARE REQUIRED TO REPORT TEST VOLUMES.

See document "Guidelines for Reporting Test Volumes" for more information.

#### PERMIT MATERIALS REAPPLICATION

- Review current information and make changes as necessary. Do not enter changes that take effect AFTER July
  1, 2025.
  - Visit each of the tab (Lab Profile, Ownership, Personnel, Permit Categories/Tests, PSCs and Others) on the blue task bar to review and update the laboratory's information as needed.
- Upload supplemental documents as appropriate. A list of laboratory owners is REQUIRED for all laboratories.
  - Please remove all spaces and special characters from the filename when uploading a document. There should be only one period in the filename, immediately before the filetype.

#### **SUBMITTING IN eCLEP:**

Click Submit in the center of the Permit Materials Home Page.

Step 1: Review and Update

Step 2: Provide Required Data

All areas that require updated information are presented on this page. Upload additional supporting documentation as applicable.

Step 3: Attest and Submit

Step 4: Print for your records and click the Finish button!

An eCLEP Permit Materials User Manual is available under the Tools tab in eCLEP or our public website at <a href="https://www.wadsworth.org/regulatory/clep/clinical-labs/change-permit.">https://www.wadsworth.org/regulatory/clep/clinical-labs/change-permit.</a>

## **Requesting An Extension**

#### Due Date extensions may be requested using the Extension Request feature in eCLEP.

In eCLEP, click on Tools tab, then click on Extension Date Request.

Extension Request for: choose "Reapplication"

New Date: enter date requested (NOT LATER THAN MAY 31, 2025)

Reason: provide a brief justification for the extension (Requests without justification will be rejected.)

Note: EXTENSION DATE REQUESTS LATER THAN MAY 31, 2025 WILL BE REJECTED.

Contact clepreapp@health.ny.gov if the laboratory is unable to submit a permit reapplication by May 31, 2025.

# **Navigating eCLEP**

### LAB PROFILE TAB

Review and update the current data on file as needed.

- Laboratory Address: The laboratory address must be the physical location where testing is performed. Due to mailing requirements, the address must include a street number.
- Hours: The <u>Laboratory Hours</u> must reflect the <u>actual hours of testing</u> at the facility. "Testing" includes the reading of slides by a pathologist. Do not include hours when <u>only</u> specimen collection is performed. If your laboratory operates 24/7, check the corresponding box. If the laboratory is closed part of the day and then reopens, use the 'other' line to make notations; for example, "closed from 0300 to 0700."
- Email Addresses: Email is our primary method for communication with laboratories. Failure to update email addresses will lead to delays in receiving valuable information. It is strongly recommended that two different email addresses are provided for the lab contact email and the contact person email to ensure continued communication if/when personnel change.
- Accounting Information: Optional. This information will be used to email the annual invoice.

#### **OWNERSHIP TAB**

- "Owner" page: The Ownership question must be answered every year. Click "Save".
- "Declaration" page (link on link): The "Declaration" questions must be answered every year. Click "Save"
- "Upload" page (link on left): Upload the required ownership list and any supplemental documentation to support your responses to the Declaration guestions.

\*\*All laboratories are required to upload a complete listing of direct and indirect owners of the laboratory.

\*\*Remove all **spaces** and **special characters** from the filename when uploading a document. There should be only one period in the filename, immediately before the filetype.

\*\*An Instructions document is available for reference on the left of the screen.

If your laboratory operates under a management contract, indicate the name and address of the company that holds the contract. If this is a new contract, submit a copy of the management contract with the laboratory's PFI clearly marked. Submission of the management contract can be made via email to <a href="mailto:clepreapp@health.ny.gov">clepreapp@health.ny.gov</a> or via fax at (518) 485-5414 or (518) 449-6901.

### **PERSONNEL TAB**

Review the Director and Assistant Director(s) and their assigned permit category responsibilities.

\*Each permit category must have at least one responsible director/assistant director with a relevant Certificate of Qualification.

Director and Assistant Director Hours: Provide the average number of hours and frequency (e.g., weekly, every other week) the individual will be **on-site** in the laboratory. Please indicate hours as a quantifiable whole number; 'as needed' or 'on call' is not an acceptable response.

\*\*Review the Clinical Laboratory Standards of Practice for director and assistant director oversight:

(<a href="https://www.wadsworth.org/regulatory/clep/clinical-labs/laboratory-standards">https://www.wadsworth.org/regulatory/clep/clinical-labs/laboratory-standards</a>). Compliance with these requirements will be monitored through the permit application process and during on-site survey.

- Director Standard of Practice 3 (DR S3): Director and Assistant Director Involvement and Time Commitment
- Director Standard of Practice 4 (DR S4): Director Responsibilities

#### PERMIT CATEGORIES/TEST TAB

Under Responsibilities, review the categories currently held and the director/assistant director responsibilities. Please see page 6 for a list of available permit categories for the 2025-2026 permit year. **Requests for additional categories may be made as part of this reapplication.** 

#### CYTOPATHOLOGY - GYNECOLOGICAL TESTING

Proof of enrollment in a CMS-approved proficiency testing (PT) program for the **calendar year 2025 is required**. **Click on "Cytopathology" (link on left) to upload proof of enrollment.** The document must reference the laboratory name and address. The PFI number must be handwritten on the paper if the CLIA number is not already included.

"Paper Enrollment" or "Laboratory Enrollment Only" is required for the laboratory when all cytopathology employees take the PT at another location (e.g., CAP PPTENR). Please contact your proficiency test provider about obtaining a proof of enrollment when all employees take a PAP PT elsewhere. PT enrollment confirmations for another location will not be accepted as confirmation for your laboratory.

#### **TEST VOLUME**

New for 2025: Required for ALL LABORATORIES holding a permit, not only those in New York.

For hospital-based laboratories in New York, this must include all testing performed in the laboratory proper an at point of care, but not the testing performed under a limited service laboratory registration. Please refer to the *Guidelines for Reporting Test Volumes* document under the Tools tab in eCLEP.

### **POINT OF CARE (POC)** – Applicable only to Hospital-based laboratories in New York

Review and update the POC Testing area in eCLEP. Include POC testing performed under the laboratory permit (but not under a Limited Service Laboratory registration) in the test volume calculation. <u>POC testing data reported here DOES NOT fulfill requirements for renewal of the Limited Service Laboratory registration at the same location.</u>

# PATIENT SERVICE CENTERS (PSC) AND HEALTH FAIRS (HF) TAB

Review and update PSC and HF information currently on record with CLEP.

Laboratories may also request a new PSC, add a HF, add more HF tests to an existing HF permit, or close a PSC or HF. When applying for a new PSC or relocating an existing PSC, a floor plan and lease (when applicable) must uploaded as part of the application process.

# **GROSS ANNUAL RECEIPTS (GAR) REPORTING – DUE MAY 15, 2025**

Required for all laboratories holding a permit as of **December 31, 2024**. Failure to submit GAR data will result in a delay in receiving your clinical laboratory permit.

From eCLEP home page, under Gross Annual Receipts, click on Reporting. If you have access to eCLEP for more than one facility, you must enter the PFI for only one facility. *This is separate from the Permit Materials section*.

Please refer to the eCLEP GAR Reporting Manual available at <a href="https://www.wadsworth.org/regulatory/clep/clinical-labs/laboratory-fees">https://www.wadsworth.org/regulatory/clep/clinical-labs/laboratory-fees</a>.

#### Due Date extensions may be requested using the Extension Request feature in eCLEP.

In eCLEP, click on Tools tab, then click on Extension Date Request.

Extension Request for: choose "Gross Annual Receipts"

New Date: enter date requested (NOT LATER THAN MAY 31, 2025)

Reason: provide a brief justification for the extension

Note: EXTENSION DATE REQUESTS LATER THAN MAY 31, 2025 WILL BE REJECTED.

Contact clepreapp@health.ny.gov if the laboratory will be unable to report GAR by May 31, 2025.

# **BLOOD SERVICES ACTIVITY REPORT (BSAR) - DUE MAY 15, 2025**

Required for all laboratories holding the permit categories *Blood Services – Collection, Blood Services – Collection Autogeneic Only, Blood Services – Transfusion or Blood Services – Transfusion Storage Only* in 2024. Failure to submit BSAR data will result in a delay in receiving your clinical laboratory permit.

From eCLEP home page, under Blood Resources, click on BSAR. If you have access to eCLEP for more than one facility, you must enter the PFI for only one facility. *This is separate from the Permit Materials section*.

#### Due Date extensions may be requested using the Extension Request feature in eCLEP.

In eCLEP, click on Tools tab, then click on Extension Date Request.

Extension Request for: choose "Blood Services Activity Report"

New Date: enter date requested (NOT LATER THAN MAY 31, 2025)

Reason: provide a brief justification for the extension

Note: EXTENSION DATE REQUESTS LATER THAN MAY 31, 2025 WILL BE REJECTED.

Contact brp@health.ny.gov if the laboratory will be unable to submit the BSAR by May 31, 2025.

# <u>HIV TESTING SURVEY – DUE APRIL 28, 2025</u>

Laboratories holding any of the permit categories listed below are **required** to participate in this survey:

## https://pubcap.health.ny.gov/surveys/?s=JAEJWEA384F8WNMH

- Diagnostic Immunology Diagnostic Services Serology
- Diagnostic Immunology Donor Services
- Cellular Immunology Non-malignant Leukocyte Immunophenotyping
- Virology

## Even if the laboratory is not performing HIV-related assays, participation is still required.

If you have any questions regarding the HIV survey, please contact the Bureau of HIV/AIDS Epidemiology at 518-474-4284 or bhaelab@health.ny.gov.

#### **HEPATITIS B/C/SYPHILIS TESTING SURVEY - DUE APRIL 28, 2025**

Laboratories holding any of the permit categories listed below are **required** to participate in this survey:

#### Hepatitis B/C/syphilis survey link

- Diagnostic Immunology Diagnostic Services Serology
- Diagnostic Immunology Donor Services
- Virology

# Even if the laboratory is not performing Hepatitis B/C/syphilis-related assays, participation is still required.

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If you have any questions regarding the Hepatitis B/C/syphilis survey, please contact <a href="https://example.com/hepath.ny.gov">HepBC.Surveillance@health.ny.gov</a>.

LABORATORY PERMIT CATEGORIES

ANDROLOGY HISTOCOMPATIBILITY

BACTERIOLOGY HISTOPATHOLOGY – General

BLOOD pH AND GASES HISTOPATHOLOGY – Oral Pathology

BLOOD SERVICES – Collection IMMUNOHEMATOLOGY

BLOOD SERVICES - Collection - Autogeneic Only

BLOOD SERVICES – Transfusion Service MYCOBACTERIOLOGY

BLOOD SERVICES – Transfusion/Storage Only

MYCOLOGY

**CELLULAR IMMUNOLOGY – Malignant Leukocyte Immunophenotyping** 

**CLINICAL CHEMISTRY** 

**CYTOKINES** 

CELLULAR IMMUNOLOGY – Leukocyte Function

CELLULAR IMMUNOLOGY -Non-Malignant Leukocyte Immunophenotyping ONCOLOGY - Molecular and Cellular Tumor Markers

PARASITOLOGY

PARENTAGE / IDENTITY TESTING

CYTOGENETICS

THERAPEUTIC SUBSTANCE MONITORING / QUANTITATIVE

**HISTOPATHOLOGY - Dermatopathology** 

TOXICOLOGY

TOXICOLOGY – Blood Lead – Comprehensive

CYTOPATHOLOGY – Gynecological Testing TOXICOLOGY – Blood Lead – ASV Using Screen Printed

Sensors

CYTOPATHOLOGY – Non-Gynecological Testing TOXICOLOGY – Clinical Toxicology – Comprehensive

TOXICOLOGY - Clinical Toxicology - Qualitative Testing Only
DIAGNOSTIC IMMUNOLOGY - Diagnostic Services Serology

TOXICOLOGY - Forensic Toxicology - Comprehensive

DIAGNOSTIC IMMUNOLOGY – Donor Services Serology

TOXICOLOGY – Forensic Toxicology – Initial Testing Only

ENDOCRINOLOGY TRACE ELEMENTS

FETAL DEFECT MARKERS TRANSPLANT MONITORING

FORENSIC IDENTITY VIROLOGY

GENETIC TESTING – Biochemistry URINALYSIS

GENETIC TESTING – Molecular

WET MOUNTS

HEMATOLOGY

#### LABORATORY AND BLOOD BANK STATUTES, REGULATIONS AND STANDARDS

All laboratories must comply with the applicable New York State statutes, regulations, guidance and standards.

Statutes-Public Health Law: https://www.wadsworth.org/regulatory/clep/laws

Title I of the Public Health Law - Communicable Disease, Laboratory Reports and Records Article 5, Title V of the Public Health Law - Clinical Laboratory and Blood Banking Services

Article 5, Title VI of the Public Health Law - Laboratory Business Practices

Article 2, Title II-D of the Public Health Law - Health Care Practitioner Referrals

Article 27-F - HIV and AIDS Related Information

Civil Rights Law: https://www.wadsworth.org/regulatory/clep/laws

Section 79-L - New York State Civil Rights Law - Confidentiality of Records of Genetics Tests

New York Code of Rules and Regulations (NYCRR): https://www.wadsworth.org/regulatory/clep/laws

Part 2 of 10 NYCRR - Communicable Diseases

Part 19 of 10 NYCRR - Duties and Qualifications of Clinical Laboratory Directors

Part 22 of 10 NYCRR - Environmental Diseases

Subpart 34-1 of 10 NYCRR - Health Care Practitioner Referrals

Subpart 34-2 of 10 NYCRR - Laboratory Business Practices

Subpart 58-1 of 10 NYCRR - Clinical Laboratories

Subpart 58-2 of 10 NYCRR - Blood Banks

Subpart 58-3 of 10 NYCRR - Clinical Laboratory Inspection and Reference Fees

Subpart 58-8 of 10 NYCRR - Human Immunodeficiency Virus (HIV) Testing

Part 63 of 10 NYCRR - AIDS Testing and The Confidentiality of HIV-Related Information

Part 70 of 10 NYCRR - Regulated Medical Waste

Guidelines: https://www.wadsworth.org/regulatory/clep/laws

Communicable Diseases Reporting Guidelines

Persons Authorized to Order Tests and Receive Directly the Results

Prohibited Items Under Subpart 34-2

Clinical Laboratory Standards of Practice: https://www.wadsworth.org/regulatory/clep/clinical-labs/laboratory-standards

Part 1 - General Standards

Part 2 - Specialty Standards

#### **ANNUAL PERMIT CERTIFICATE**

2025-2026 clinical laboratory and blood bank permits, patient service center registrations, and health fair permits will be mailed via first class United States Postal Service during the last full week of June.

Laboratories are notified <u>via email</u> in early June if there are issues with the reapplication. Failure to respond and/or address the issues in the email may result in non-renewal of the laboratory/blood bank permit. You must review your email Junk or SPAM folder for emails from addresses ending in "health.ny.gov".

If your laboratory currently holds a clinical laboratory permit, and has provided\_timely response/resolution to inquiries from the Program, but does not receive new documents by July 8, 2025, please contact the Program via email to <a href="mailto:clepcert@health.ny.gov">clepcert@health.ny.gov</a> to request a duplicate. The email should include your permanent facility identifier (PFI) and the words "Duplicate Permit Request" in the subject line. In the body of the email, detail which permit(s) – laboratory, patient service center (include station number) and/or health fair – were not received.